## General considerations

1. *Government of the Republic of the Sudan through the United Nations Development Programme (UNDP)* is planning to implement the *Increase Coverage of Basic Health Services and Infrastructure for IDPs Project (BHSIP-IDPs* (the ***Project***). The Bank has agreed to provide financing, implementation support and monitoring for the Project.

2. *UNDP* will implement measures and actions of this Environmental and Social Management Plan[1] (***ESMP***) so that the Project meets all the requirements of the Bank Environmental and Social Operational Safeguards (***OS***) and the National policy and legal requirements.

3. Where the ESMP refers to specific plans, whether they have already been prepared or are to be developed, the ESMP requires compliance with all mandatory provisions of such plans.

4. The table below summarizes the material measures and actions that are required, the basis of the requirement, the timing of the measure or action, and the criteria to be used for determining whether the required measure or action has been successfully achieved. *UNDP* is responsible for compliance with all requirements of the ESMP even when implementation of specific measures and actions is conducted by an entity different from the Project Implementation Unit (PIU).

5. Implementation of the material measures and actions set out in this ESMP will be monitored and reported to the Bank by *UNDP* as required by the ESMP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the measures and actions throughout implementation of the Project.

6. As agreed by the Bank and *UNDP*, this ESMP may be revised from time to time during Project implementation, to reflect adaptive risk management of project changes and unforeseen circumstances or in response to assessment of project performance conducted under the ESMP itself. In such circumstances, *UNDP* will propose and agree changes with the Bank, and then update the ESMP to reflect such changes.

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[1] The ESMP refers to all the E&S risks/impacts and measures, as approved in all the disclosed E&S documents and agreed between the Bank and Borrower. For projects involving multiple subprojects, that are identified, prepared and implemented during the course of the project, the Borrower will need to demonstrate to the Bank, before the project appraisal, through the preparation of E&S documentation of a sample of subprojects, that it has the capacity to carry out appropriate environmental and social assessment of subprojects, and prepare and implement such subprojects in accordance with the national laws and the OSs. (***Section III.2.3 of Bank's ESP and section D of OS1***)

| | *Material Actions[2] to Manage the Project's E&S Risks and Impacts* | *Basis for Requirement* | *Key Performance Indicator* | *Indicative Timing/Deadline* |
|---|---|---|---|---|
| 1 | Periodic E&S implementation report to the Bank. (Submission of Monthly/ Quarterly E&S Implementation Reports)<br><br>Submission of Annual Environmental & Social Compliance Audit Reports<br><br>Submission of Environmental & Social Completions Audit and Resettlement Action Plan Completion Audit Reports | Bank's ESP and OS1 | Reports submitted in time, as per the Bank's ESP and OS1: Risk assessment and management) and UNDP SES Guidelines | Monthly E&S Implementation Progress will be shared in the Monthly Progress Meeting.<br>Quarterly E&S Implementation Report will be shared on or by the 15th date of the new month in the next quarter<br><br>Annual Environment and Social Compliance Audit Reports will be submitted by the 15th date of the first month of the new year<br><br>Environmental and Social Completions Audit and Resettlement Action Plan Completion Audit Reports within 2 weeks of the due period. |
| 2 | Recruitment of E and S specialists as part of the Project implementation unit | Disclosed ESIA, OS1 and National Requirements | Seasoned E&S specialists in the PIU | By Project effectiveness date |
| 3 | Establishment of the Project Grievance Redress Mechanism (GRM) and disclosure to Public | OS1, OS10 and National Requirements | A well-established and operational GRM, that satisfactorily meets both the UNDP and Bank requirements. | Within the first three months of project implementation |
| 4 | Payment of compensation and reinstallation of affected people | OS5, OS10 and National Requirements | N/A | N/A |
| 5 | Incorporation of site-specific E&S measures in the request for proposals | OS1 & National Requirements | N/A | N/A |
| 6 | Submission of high-risk activity's Contractor ESMP (*C-ESMP*) to Bank clearance | Bank's ESP and OS1 | N/A | N/A |
| 7 | Establishment of the Contractor's Grievance Mechanism (GM) and information of workers | ÓS1, OS2, OS10 and Bank's Disclosure and Access to Information Policy | As applicable, an established grievance mechanism provided and accessible for all project / subproject workers to raise workplace concerns. | As applicable, prior to the time of recruitment of contractors / subproject workers.<br><br>Develop GRM SoPs prior to the onboarding of the Contractors / sub-project workers<br><br>Orient the Contractors and sub-project workers during their onboarding process. |

[2] Please add any relevant key actions and/or indicate "Not applicable" in the third column ("Basis for requirement") for actions that are not applicable to the project.

| | ***Material Actions[2] to Manage the Project's E&S Risks and Impacts*** | ***Basis for Requirement*** | ***Key Performance Indicator*** | ***Indicative Timing/Deadline*** |
|---|---|---|---|---|
| 8 | Obtaining nationally required licenses prior commencement of subjected activities (excavations, tree-cutting, working at height, working in confined spaces, etc.) | OS1, OS2 and National Labor Laws | As applicable, nationally or state-level required relevant licenses and clearances obtained | As applicable, prior to commencement of the relevant activities. |
| 9 | Preparation, approval, and disclosure of specific E&S documents during Project implementation, including prior review of Category 1 terms of reference by the Bank | Bank's ESP, OS1 and National Requirements | The site-specific E&S documents prepared (by UNDP) aligned with Bank's ESP OS1, Sudan's national SES requirements and UNDP's corporate SES Policies, approved, and disclosed during project implementation. | As applicable, after the identification of potential project sites, as part of the E&S due diligence process, and prior to approval. implementation sites but before starting the activities. |
| 10 | Engagement with concerned stakeholders of each relevant specific E&S activity | OS1, OS10, Bank's Disclosure and Access to Information Policy | Stakeholder engagement plan and disclosure documented. | By start of works and continuous during project implementation.<br><br>Periodic reports included as annex to the Quarterly E &S Implementation Report |
| 11 | Establishment of Emergency Preparedness and Response mechanism | OS1 & OS4, National Legislation on contingencies et | NA | NA |
| 12 | Appropriate and timely handling of complaints/grievances | Bank's ESP, OS1 and OS10 | Timely response to grievances (e.g., number of grievances resolved in stipulated time indicated in the GRM),<br><br>An effective grievance redress committee at the Community and Project level<br><br>Grievance log and reports | As required by the GRM, throughout the project's implementation.<br><br>As applicable, minutes of the GRM Committee meetings and Grievance log and report included in the Quarterly E&S Implementation Report |
| 13 | Notification to riparian or alert to downstream exposed peoples | Bank's ESP and OS1, applicable International Treaty/Convention ratified | NA | NA |
| 14 | Capacity building of key project implementers | OS1 | ▪ Number of trainings delivered to key project implementers (local authorities and communities)<br>▪ Training reports | Continuous |
| 15 | Implementation of ESMS/ESAP[3] | OS1 and OS9, National Requirements | NA | NA |
| *15.1* | *Approval of any required E&S management procedure* | Ditto | NA | NA |

[3] Applies to non-sovereign operations and public sector projects implemented by permanent autonomous Agencies/Institutions.

| | Material Actions[2] to Manage the Project's E&S Risks and Impacts | Basis for Requirement | Key Performance Indicator | Indicative Timing/Deadline |
|---|---|---|---|---|
| *15.2* | *Establishment of the E&S unit* | Ditto | Institutional capacity, demonstrated by a clear E&S unit/function in the Project Implementation Unit's organogram that includes: (i) skilled and appropriate staffing proportional to the E&S challenges; and (ii) adequate sustainable financing (a permanent budget line) for the operation of the E&S unit/function, acceptable by the Bank | By Project effectiveness date |
| *15.3* | *Capacity Building of the E&S Unit* | Ditto | ▪ Percentage or number of E&S staff who have completed relevant training programs within a year.<br>▪ Number of E&S training sessions, including the EOHS conducted for the E&S unit | As per the schedule in E&S unit training program or action plan. |
| *15.4* | *Implement action items outlined in the ESAP attached to the ESDD Report* | Ditto | NA | NA |
| 16 | Suspending works in the event of EOHS risk or incident, immediately notify the Bank, and resume works only upon no-objection of the Bank. | Bank's ESP | ▪ Number of suspensions due to EOHS risk<br>▪ Incident register and incident notification procedure established. Serious incidents reported<br>▪ EOHS Risk Communication Tree including PIU, UNDP CO, and the Bank<br>▪ EOHS Risk Analysis and Mitigation Measures Designed | Immediately and no later than 48 hours after the occurrence<br><br>EOHS Communication Protocol established during the design phase<br><br>EOHS Risk Analysis and Mitigation Measures developed during the design phase<br><br>Detailed report of the EOHS Risk and Mitigation Measures annexed to the Quarterly Reports |
| 17 | Prepare the root-cause analysis (RCA) of any fatal EOHS incident and implement the Corrective Action Plan (CAP). | Bank's ESP and OS1 | ▪ Number of root-cause analysis (RCA) of any fatal EOHS incident(s), conducted, and a Corrective Action Plan (CAP) implemented | As applicable, within 2 weeks of the occurrence of the incident |

| Material Actions[2] to Manage the Project's E&S Risks and Impacts | | Basis for Requirement | Key Performance Indicator | Indicative Timing/Deadline |
|---|---|---|---|---|
| 18 | Disclosure of Project's E&S reports to the public | OS1, OS10, Bank's Disclosure and Access to Information Policy | ▪ Number of project E&S reports issued to public | After approval of the E&S reports by the designated National agencies. |